



U.S. Small Business Administration

Guidehouse Fiscal Transfer Agent (FTA)

Attn: Accounting Department

685 3rd Ave 14th floor

New York, NY 10017

Broker / Dealer Fee Account Form

Guidehouse accepts prepayment of fees as a convenience to its clients. Prepaid deposits are maintained in separate general ledger accounts, and only the activity related to a specific client account is debited from it.

Guidehouse will notify client when an account balance is insufficient to cover charges and additional funding is required.

Guidehouse will not advance fees and has the right to change or cancel this process.

Accepted:

Date: _____

Name:

Title:

Organization Name:

Date: _____

Name:

Manager/Controller

Guidehouse
