



U.S. Small Business Administration

Guidehouse, SBA Fiscal Transfer Agent (FTA)

Attn: Accounting Department

685 3rd Ave 14th floor

New York, NY 10017

### **Broker / Dealer Fee Account Form**

Guidehouse accepts prepayment of fees as a convenience to its clients. Prepaid deposits are maintained in separate general ledger accounts, and only the activity related to a specific client account is debited from it.

Guidehouse will notify the client when an account balance is insufficient to cover charges and additional funding is required.

Guidehouse will not advance fees and has the right to change or cancel this process.

Accepted:

\_\_\_\_\_

Date: \_\_\_\_\_

Name:

Title:

Organization Name:

\_\_\_\_\_

Date: \_\_\_\_\_

Name:

Title:

Guidehouse, SBA FTA

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