



Guidehouse serves as the Fiscal Transfer Agent (FTA) for the Small Business Administration’s (SBA) 7(a) loan guarantee program. This notice covers transaction information for payments to and from the FTA.

Guidehouse accepts prepayment of Broker/Dealer fees as a convenience to its Broker/Dealer clients. Prepaid deposits are maintained in separate general ledger accounts, and only the activity related to a specific client account is debited from it.

Broker/Dealers who wish to establish accounts at the FTA must complete the [Fee Account Request form](#), which can be found on the FTA’s Wiki. The form must be submitted to [SettlementExpress@SBA.gov](mailto:SettlementExpress@SBA.gov).

Monthly Broker/Dealer account statements are issued by Guidehouse. Statements arriving through email emanate from [FTA@sba.gov](mailto:FTA@sba.gov).

**NOTE:** In the event a Broker/Dealer’s account balance is insufficient to cover the cost of the fee, the FTA will contact the Broker/Dealer to provide that additional funds are deposited to the account.

Broker/Dealers who elect to pay the fee upfront need to do so by wire or ACH payment to the following account:

<u>Account Name</u>	<u>Account Number</u>	<u>ABA/Routing Number</u>
Guidehouse Operating Account	7253190180	121000248

Additional wire/ACH information is provided below:

**Bank Name:**  
Wells Fargo Bank N.A.

**Beneficiary Name:**  
Guidehouse

**Bank Address:**  
420 Montgomery Street  
San Francisco, CA 94104

**Beneficiary Address:**  
685 Third Avenue  
14<sup>th</sup> Floor Suite 1400  
New York, NY 10017

The fee schedule for Broker/Dealer loan operations is provided for below:

<u>FTA Fees</u>	<u>Per Transaction Charge</u>
Settlement (Original Issuance of Individual Guaranteed Loan Interest)	\$75.00
Transfer Recording Fee for an Individual Guaranteed Loan Interest	\$20.00
Redemption Fee for an Individual Guaranteed Loan Interest	\$20.00
Pool Application Processing Fee Per Individual Guaranteed Loan Interest	\$30.00
Transfer Recording Fee for a Guaranteed Loan Pool	\$20.00
Split fee for a Guaranteed Loan Pool - <i>A Loan Pool can be split into two or more pieces. The split fee is \$20 per certificate.</i>	\$20.00
Redemption Fee for a Guaranteed Loan Pool	\$20.00
Transfer Recording Fee for an Originator Fee Interest	\$20.00
Redemption Fee for an Originator Fee Interest	\$20.00