

SAMPLE SBA FORM 1454 FIELD DESCRIPTIONS

Page- Field #	Field Name	Description	Example
1-1	Pool Assembler Name	Name of institution that is an SBA-approved pool assembler.	ABC Securities, Inc.
1-2	Address	Pool assembler's complete address.	123 Main Street Anytown, DC 20000
1-3	Pool Assembler Number	SBA-assigned number to identify pool assembler.	FY99-010
1-4	Pool Assembler Tax ID Number	The pool assembler's TIN.	23-777770
1-5	Interest Rate requested on Pool certificates	Interest rate for pool.	Prime +.875%
1-6	Scheduled Maturity Date requested on pool certificates	Pool's maturity date.	3/25/2015
1-7	Pool Cap and Floor	If the pool has a cap and/or floor, the amount of the cap and/or floor.	14.5% Cap
			4.0% Floor
1-8	Proposed Issue Date on pool certificates	Month and year pool is formed.	2/1/2000
1-9	Proposed Settlement Date	Date pool is to be completed and registered on FTA's records.	2/12/2000
		A spreadsheet attachment containing required information for Fields10A-10E can be substituted.	
1-10A	Loan Number	List each individual GP Number – the 10 digit numerical SBA-assigned loan number – for the loans that will comprise the Pool.	1234567890
1-10B	Borrower Name	Borrower's name for each loan in Field 10A.	Tom's Garage
1-10C	Originator Fee	Originator fee associated with each loan in Field 10A (if any).	.23%
1-10D	Schedule Maturity Date	Maturity date associated with each loan in Field 10A.	1/15/2013
1-10E	Balance as of Pool Application Date	Guaranteed balance of each loan in Field 10A at time of pool application date.	427,432.10
2-11A	Signature	Authorized signer for pool assembler	Joe Trader
2-11B	Name	Printed name of signatory in Field 11A	Joe Trader
2-11C	Title	Title of person in Field 11A	Vice President
2-12A	Signature	Authorized signer for pool assembler	Mary Sales
2-12B	Name	Printed name of signatory in Field 12A	Mary Sales
2-12C	Title	Title of person in Field 12A	Vice President